



03/28/97



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

08/748,205 11/12/96 JUNIUS

0212/0 22

SETH M NEHRBASS
 PRAVEL HEWITT KIMBALL & KRIEGER
 1177 WEST LOOP SOUTH
 10TH FLOOR
 HOUSTON TX 77027

RECEIVED
 Pravel, Hewitt,
 Kimball & Krieger

JAN 28 1997

Docket by: AZ SG
 Action: Missing Parts 03/22/97
 Due date: DATE MAILED:

P96107US

01/22/97

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ large entity ☐ small entity (verified statement filed), is \$ 1002.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 770 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 102, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the response.

RECEIVED

FEB X 3 1997

PRAVEL, HEWITT,
KIMBALL



03/28/97

0300
1-21-97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: JOHN H. JUNIUS and ANNA H. JUNIUS
 SERIAL NO: 08/748,205
 FILED: NOVEMBER 12, 1996
 FOR: "FLUID FILTER USING FLOATING MEDIA"
 ATTORNEY DOCKET NO.: P96107US (38222/1P)

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION UNDER 37 CFR 1.53(d)

Honorable Commissioner of Patents and Trademarks
 Washington, D.C. 20231

Sir:

In response to the notice mailed from the U.S. Patent Office on January 22, 1997 in the above-referenced application, enclosed are the following:

1. Power Of Attorney;
2. Verified Statement Claiming Small Entity Status;
3. Declaration;
4. Information Disclosure Statement;
5. \$65.00 Requisite Fee for Filing Missing Parts;
6. \$436.00 check for filing fee; and
6. Copy of Form PTO-1533.

Please charge any additional fees due or credit any overpayment to Deposit Account No. 16-2435.

Respectfully submitted,

Charles C. Garvey, Jr., Reg. No. 27,889
 Gregory C. Smith, Reg. No. 29,441
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